

Chris Holland

EXPERIENCE

2/1/2018 TO PRESENT

Singapore

PARTNER – HOLLAND & MARIE

- Co-Founder and responsible for managing and operating a compliance and legal solutions firm, providing practical solutions for asset managers, hedge funds, private equity funds, payments businesses, family offices, broker-dealers, insurers, wealth managers and investment banks in Singapore and Hong Kong.

2/2020 TO PRESENT

INDEPENDENT, NON-EXECUTIVE DIRECTOR – WEI YUAN HOLDINGS LIMITED

- INED of this HKEx Main Board-listed company specializing in civil engineer utilities works.

11/1/2010 TO 9/21/2018

Singapore

GENERAL COUNSEL/CHIEF OPERATING OFFICER – RELIGARE CAPITAL MARKETS (INTERNATIONAL)

- Responsible for managing Legal & Compliance for international operations of Religare Capital Markets. Served as COO from November 2012 to March 2015, Resumed COO responsibilities in August 2015 when the titular COO resigned and the title was not reinstated.
- Compliance responsibilities included implementing firm-wide policies that satisfy local requirements, managing relationships with local regulators in Singapore and Hong Kong (and previously the UK, US and Dubai), applying for applicable licenses and exemptions from regulation, managing regulatory inspections and responding to regulatory queries, as well as routine compliance work such as wall-crossing, KYC and audit responses.
- Legal responsibilities included reviewing all contracts, negotiating terms of business with new equities clients, HR matters, managing and settling potential litigation, providing legal and compliance advice and updates to the Board of Directors, developing relationships with and managing outside counsel, advising on expansion into new jurisdictions and assistance on investment banking transactions.
- COO responsibilities included managing Operations, Finance, HR and IT throughout Asia and the UK, including a team of approximately 15 staff supporting our equities, investment banking and research departments. Responsible for budgeting, analyzing financial results, selecting and managing employees, contractors and vendors and overall function of the COO office.
- Titular CEO and Director of one of Religare's Singapore entities (regulated by the MAS).

9/1/1999 TO 10/31/2010

Singapore, HK, NY

COUNSEL (NEW YORK QUALIFIED ATTORNEY) – CLIFFORD CHANCE

- Assisted issuers, underwriters and trustees on various equity and debt capital markets transactions across Asia, including the initial public offerings of SingPost, AirAsia and Maxis.

EDUCATION

9/1/1996 TO 5/1/1999
J.D.

Duke University School of Law

Durham, North Carolina

9/1/1992 TO 5/1/1996
B.A. IN PHILOSOPHY

University of Virginia

Charlottesville, Virginia

AWARDS

FINALIST FOR SINGAPORE IN-HOUSE LAWYER OF THE YEAR BY ASIAN LEGAL BUSINESS – 2012 – 2014.